

# INTEGRATED PORTABLE IDENTIFICATION AND VERIFICATION DEVICE

## INVENTORS:

DONALD A. MILNE, III  
TIANLONG CHEN

## CROSS-REFERENCE TO RELATED APPLICATIONS

[01] Memory-Resident Database Management System and Implementation Thereof; SN 10/347,678; Filed on January 22, 2003; Attorney Docket Number 0299-0005; Inventors: Tianlong Chen, Jonathan Vu.

[02] Distributed Memory Computing Environment and Implementation Thereof; Application SN 10/347,677, Filed on January 22, 2003; Attorney Docket Number 0299-0006; Inventors: Tianlong Chen, Jonathan Vu, Yingbin Wang.

[03] Invariant Memory Page Pool and Implementation Thereof; Filed on April 30, 2003; Attorney Docket Number 0299-0014; Inventors: Tianlong Chen, Yingbin Wang, Yinong Wei.

[04] Central Linked List Data Structure and Methods of Use; Filed July 9, 2002, Provisional Application SN 60/394,257; Attorney Docket No. 0299-0001; Inventor: Jonathan Vu.

[05] The above-referenced applications are hereby incorporated herein by reference in their entirety.

## STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH OR DEVELOPMENT

[06] Not applicable.

## FIELD OF THE INVENTION

[07] The present invention relates to a portable integrated system for identification and/or verification of documents or other identification materials, fingerprints or other physical characteristics, and facial images.

## BACKGROUND OF THE INVENTION

[08] Traditionally, facial recognition, fingerprint scanning and document identification devices are used separately, and are often used in fixed locations such as offices. It would be very convenient to integrate such devices to improve the accuracy of identification or verification. It is also more desirable to make the combined device portable to give users, who are mainly law enforcement officers, faster response time in the war against crime or terror.

## SUMMARY OF THE INVENTION

[09] The present invention has been made in view of the above circumstances. The present invention has an aspect of providing an integrated identification and verification station or device having at least facial recognition, document identification and verification functionality, and optionally fingerprint identification and verification capability in one combined device.

[10] Still another aspect of the present invention is to provide an integrated identification and verification station having a control computing device with a display component to generate and display separate or combined reports of facial recognition, document scanning, and fingerprinting, and to give the user a graphical interface for administration and operation.

[11] Still another aspect of the present invention is for the control computing device optionally to connect by wireless or wire line to query a remote information server for other information.

[12] Still another aspect of the present invention is that the integrated identification and verification station is portable.

[13] Still other aspects, features, and advantages of the present invention are readily apparent from the following detailed description, simply by illustrating preferable embodiments and implementations. The present invention is also capable of other and different embodiments, and its several details can be modified in various respects, all without departing from the spirit and scope of the present invention. Accordingly, the drawings and descriptions are to be regarded as illustration in nature, and not as restrictive.

#### BRIEF DESCRIPTION OF THE DRAWINGS

[14] The accompanying drawings, which are incorporated in and constitute a part of this specification illustrate some embodiments of the invention and, together with the description, serve to explain the objects, advantages, and principles of the invention. To facilitate the description, the preferred embodiment of the invention will be referred to herein as the “integrated identification and verification station”. In the drawings,

[15] **FIG. 1** is a transparent view of an empty integrated identification and verification station housing or box in accordance with a preferred embodiment of the present invention. The integrated identification and verification station housing has three levels, level 1 for hosting a camera preferably but optionally with pan, tilt and zoom capability; level 2 being separated into two parts, one of them for hosting a document scanner, the other for hosting a fingerprint scanner; and level 3 for hosting a computer with a display.

[16] **FIG. 2** is a transparent view of a conceptual integrated identification and verification station housing in accordance with a preferred embodiment of the present invention with the computer pulled out from the level 3 of integrated identification and verification station housing in accordance with the present invention.

[17] **FIG. 3** is a transparent view of a conceptual integrated identification and verification station housing in accordance with a preferred embodiment of the present invention with a document scanning device sitting in one part of level 2 inside the integrated identification and verification station.

[18] **FIG. 4** is a transparent view of a conceptual integrated identification and verification station housing in accordance with a preferred embodiment of the present

invention with a fingerprint device sitting in one part of level 2 inside the integrated identification and verification station.

[19] **FIG. 5** is a transparent view of a conceptual integrated identification and verification station housing in accordance with a preferred embodiment of the present invention with a Camera with Pan, Tilt and Zoom sitting in level 1 inside the integrated identification and verification station.

[20] **FIG. 6** is a transparent view of a conceptual integrated identification and verification station housing in accordance with a preferred embodiment of the present invention with components in each level of the integrated identification and verification station housing, including a pulled-out computer and its display device at level 3, a document scanner and a fingerprint scanner at level 2 facing opposite direction, a camera at level 1 in accordance with the present invention.

[21] **FIG. 7** illustrates a back view of a conceptual integrated identification and verification station housing in accordance with a preferred embodiment of the present invention with a computer and its display device inside at level 3 and document scanner facing back at level 2 in accordance with the present invention.

[22] **FIG. 8** illustrates a front view of a conceptual integrated identification and verification station housing in accordance with a preferred embodiment of the present invention with a camera hole and an extra light hole at level 1 and fingerprint scanner window at level 2 in accordance with the present invention.

[23] **FIG. 9** illustrates functional block diagram of an integrated identification and verification station in accordance with a referred embodiment of the present invention.

#### DESCRIPTION OF THE PREFERRED EMBODIMENT

[24] The present invention relates to an integrated identification and verification station. A preferred embodiment of the invention has the functionality of at least two types of identification and verification, such as facial recognition, fingerprint identification and verification, and document identification and verification. Other types

of identification or verification may be used in addition to or in place of one or more of the facial recognition, fingerprint identification and verification, and document identification or verification. A computer inside the integrated identification and verification station provides the functionality of administration, operation and reporting.

[25] Referring to **FIG. 1**, there is illustrated a transparent view of an empty integrated identification and verification station housing that has three levels. The top level has one compartment **101**, the middle level has two compartments **102**, **104**. and the bottom level has one compartment **103**. The compartment **101** at the top level hosts a facial recognition device such as a camera or cameras, preferably with Pan, Tilt and Zoom capability as further showing in **FIG. 5**. The compartment **104** at the left side of the middle level hosts a scanning device such as a document, bar code, or other scanner, In the preferred embodiment, a document scanner is used in compartment **104** as further shown in **FIG. 3**. The compartment **102** on the right at level **2** hosts a physical identification device such as a fingerprint or eye scanner. In a preferred embodiment, a fingerprint scanner is used in compartment **102**, as further showing in **FIG. 4**. The bottom compartment **103** at level **3** hosts a computer with folding display device as further showing in **FIG. 2**. Other arrangements of a computer and display may be used. Although this arrangement of the various components to be integrated is presently preferred, other physical arrangements of the components certainly would be understood by those of skill in the art.

[26] Referring to **FIG. 2**, the computer **105** is removably placed or mounted in compartment **103**. The computer **105** may simply be placed into the compartment **103** in a manner in which it latches in only when not in use, or it may be mounted to the housing by means of a drawer. Many variations of mounting or placing the computer into the housing are possible. In **FIG. 2**, the computer **105** has a display that folds down, such as on a laptop computer. Other variations of the display and computer, such as the display being mounted on the computer in the manner of a tablet PC or being mounted to the housing separate from the computer are possible and would be readily apparent from this specification to those skilled in the art. In **FIG. 2**, computer **105** is pulled out in the direction **106** from compartment **103** for a user's operation. A display device **108** with display screen **109** is attached with the computer **105** and is shown opened **107**. The

display device **108** can be setup to be attached in other ways such as be attached to the integrated identification and verification station directly. **FIG. 2** shows the computer is pulled out from a back face of the integrated identification and verification station housing, it can be setup in other directions too.

[27] Referring to **FIG. 3**, a document scanner **110** sits in the left compartment **104** of **Level 2** facing the back of the housing. In a preferred embodiment, the document scanner is a Passport scanner. Other types of scanners, such as a bar code scanner, may be used, depending on the type of document or identifying material to be scanned. Referring to **FIG. 4**, a fingerprint scanner **111** sits in the right cubic **102** facing front.

[28] Referring to **FIG. 5**, a camera **113** with Pan, Tilt and Zoom **112** capabilities sits in the top cubic room **101** facing front. The camera is used for taking one or more pictures of a person for further facial recognition. Optionally the camera can be detached from the integrated identification and verification station to provide flexible picture taking, but it will still be connected via wired or wireless means with the computing device inside the integrated identification and verification station.

[29] Referring to **FIG. 8**, optionally a camera **151** can be located in a separated handheld computing device **150** to achieve the function of taking picture of a person. An extra light **152** can be attached **153** (such as a clip) to the handheld computing device **150** for lighting in picture taking. After picture taking, the picture can either be downloaded to the computing device in the integrated identification and verification station housing by wired or wireless network.

[30] Referring to **FIG. 6**, an integrated identification and verification station housing hosts a computer **105** with display device **108**, a camera **113**, a document scanner **110** and a fingerprint scanner **111**. In **FIG. 6**, the computer and display are pulled out of the housing for use. In the embodiment shown in **FIG. 6**, the computer **105** and display **108** pulls out of the back side of the housing while the camera **113** faces the front of the housing.

[31] Referring to **FIG. 7**, the back face of an embodiment of an integrated identification and verification station housing is shown. The computer **105** and its display device **108** are sitting inside the integrated identification and verification station

housing, the document scanner **110** has a window **104** for scanning a document. Those skilled in the art would understand the other means, such as a slot or feeder could be used in the invention for inserting a document to be scanned.

[32] Referring to **FIG. 8**, a front-faced integrated identification and verification station housing is shown in non-transparent way, the level **1** has a camera hole **201** for a camera lens to view through, an optional lighting hole **202** with an extra light providing extra light in picture taking, and the fingerprint scanner **111** has a window for customer to insert a finger or fingers for scanning.

[33] Referring to **FIG. 9**, a functional diagram shows computer **114** is connected with all devices **115**, **116**, **117** and **118** by either wireless or wired network, serial port, USB, IEEE 1394 Firewire, or other connection, depending on the specific components used. The computer **114** serves as an administration, control and report center, and outputs to display device **118**. The computer **114** may have a printer port to output for direct printing. It also has connections for a wireless or wired network to provide connection with remote server or system for other information query.

[34] Still referring to **FIG. 9**, the computer **114** provides a graphic user interface (GUI) to the document scanner **116**. The document scanner **116** provides document scanning functionality, and output image(s) or other information of the scanned document to the computer **114**. The computer **114** uses a document database in the computer **114** (or on the network) in connection with extracting various information from the scanned document, and it further uses the document database to identify the type and other information of the document scanned and verify the document. If a document includes a human face photo or template encoded from a human face photo, the face photo or template can be captured for further facial recognition. The result can be displayed on the display device **118**. If a document includes a human fingerprint image or its encoded template, the fingerprint image and its encoded template can be captured for further fingerprint identification.

[35] Still referring to **FIG. 9**, the computer **114** provides a graphic user interface to the fingerprint scanner **115**, the fingerprint scanner **115** will provide fingerprint scanning capability and output an image or its encoded template. In operation, a person's finger is

put on fingerprint scanner **115** and a fingerprint image or its encoded template is output to the computer **114**, and the computer **114** uses the image or its encoded template (the image or its encoded template can also be captured from the fingerprint image or encoded template on document by document scanner) to further query a fingerprint database inside the computer **114** or query through the computer **114** wireless or wired network connection to a remote server **140** for a fingerprint database, to get the identity and information of the person with scanned fingerprint if the person's fingerprint is in the fingerprint database, or to get no match if the person's fingerprint is not in the fingerprint database. The query result can be displayed on the display device **118**.

[36] Still referring to **FIG. 9**, the computer **114** provides a graphic user interface to the camera **117**, camera can take live pictures of a person, the computer further uses the captured pictures to search through a image database inside the computer for facial recognition or sends a facial recognition search query with the captured pictures through computer **114** network connection to a remote image database in a remote server **140** for facial identification. The query result can be displayed on the display device **118**. The result at least includes several possible matches and matching percentage associated with each match or no match at all.

[37] Still referring to **FIG. 9**, the computer will further provide administration functionality to all devices, including but not limited to optional setting up network connection with remote server, setting up various parameter of in-housing devices, setting up camera Pan, Tilt and Zoom functions, and operation control of each device in the integrated identification and verification station housing such as starting, stopping, restarting and status checking, and generating report for search and query result.

[38] In order for the integrated identification and verification station to be conveniently portable, an in-housing power supply is provided with limited power supply for each device, or optionally a power line is provided to external power supply. The computer inside integrated identification and verification station can monitor the power level of the internal power supply, when whole system is idle for certain time, the computer will automatically temporarily shut down the whole system for saving the power. An internal power supply can be a rechargeable battery.



[39] Referring to FIG. 7 or FIG. 8, a handle 130 is attached on top of the integrated identification and verification station housing for people to easily carry the integrated identification and verification station housing around. The handle can put on sides of the housing too.

[40] Referring to FIG. 7 or FIG. 8, one such integrated identification and verification station housing is 13 inch wide, 15 inch long and 29 inch high. It is possible to make it smaller.

[41] Using the portable integrated identification and verification system described above, one may verify the identity of a person using a variety of methods incorporating the various components of the system. For example, one may use the document scanner to scan a facial image or a fingerprint image from an identification document and compare the scanned facial or fingerprint image to a database of facial images or fingerprint images. One further could use the camera to take a digital facial image of a person and compare that facial image to both a facial image scanned from an identification document and a facial image database. One further could use the fingerprint scanner to scan a fingerprint of a person and compare that scanned fingerprint both to a fingerprint image or template scanned from an identification document and to a fingerprint image database. Many different methods of verifying the identity of a person using the system described above would be apparent to one skilled in the art.

[42] The foregoing description of the preferred embodiments of the invention has been presented for purposes of illustration and description. It is not intended to be exhaustive or to limit the invention to the precise form disclosed, and modifications and variations are possible in light of the above teachings or may be acquired from practice of the

invention. The embodiments were chosen and described in order to explain the principles of the invention and its practical application to enable one skilled in the art to utilize the invention in various embodiments as are suited to the particular use contemplated. It is intended that the scope of the invention be defined by the claims appended hereto, and their equivalents. The entirety of each of the aforementioned documents is incorporated by reference herein.